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| First Named Inventor | : Kurt J. Korkowski et al. | Group Art Unit: Examiner: |
| Appln. No. | : | |
| Filed | : Herewith | |
| Title | : ENDCAP FOR REDUCING AIRFLOW EXCITATION OF HEAD GIMBAL ASSEMBLY | |
| Docket No. | : I69.12-0614 | |

INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The enclosed PTO Form-1449 lists patents and publications submitted pursuant to 37 C.F.R. 1.97. Copies of the patents or publications are enclosed as necessary.

TIME OF FILING

The Information Disclosure Statement is being filed:

1. X with the application or within three months of the filing date of a national application (other than a continued prosecution application under 37 C.F.R. 1.53(d)) or date of entry into the national stage of an international application or, to the best of the undersigned's knowledge, before the mailing date of a first Office action on the merits or a first office action after the filing of a request for continued examination under 37 C.F.R. 1.114, whichever event occurs last. In accordance with 37 C.F.R. 1.97(b), no certification or fee is required.

2. after the time period specified in paragraph 1 above, but, to the best of the undersigned's knowledge, before the mailing date of a final action under 37 C.F.R. 1.113 or notice of allowance under 37 C.F.R. 1.311, or an action that otherwise closes prosecution of the application. In accordance with 37 C.F.R. 1.97(c), submitted herewith is:

(check either A or B below)

 - A. a statement as specified in 37 C.F.R. 1.97(e), no fee is required.
 - B. the fee set forth in 37 C.F.R. 1.17(p) for submission of an Information Disclosure Statement under 37 C.F.R. 1.97(c).

3. — after the mailing date of either a final action under 37 C.F.R. 1.113 or a Notice of Allowance under 37 C.F.R. 1.311, whichever occurs first, but on or before payment of the issue fee. Applicant petitions for consideration of this Information Disclosure Statement pursuant to 37 C.F.R. 1.97(d)(2). Applicant submits herewith:
- A. a statement as specified in 37 C.F.R. 1.97(e); and
 - B. the fee set forth in 37 C.F.R. 1.17(p).

Respectfully submitted,

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| FORM PTO-1449 | Atty. Docket No.: I69.12-0614 | Application No.: |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | First Named Inventor: Kurt J. Korkowski et al. | |
| | Filing Date: Herewith | Group Art: |

U.S. PATENT DOCUMENTS

| Examiner Initials | Document No. | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Documents |
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| AG | US 2002/0196581 | 12-26-2002 | Tsang et al. |
| AH | US 2003/0072103 | 04-17-2003 | Kang et al. |
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FOREIGN PATENT DOCUMENTS

| | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Documents | Translation Yes No |
|----|----------------------------|--------------------------------|---|-----------------------|
| AJ | | | | |
| AK | | | | |
| AL | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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| AM | |
| AN | |
| AO | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.